

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Attorney Docket No. 020431.0753

In re Application of:

CHINNAPPAN ET AL.

Serial No. 09/745,978

Filed: 22 DECEMBER 2000

For: SYSTEM AND METHOD FOR  
FACILITATING ELECTRONIC  
COMMERCE TRANSACTIONS

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Examiner:

JAMES H. ZURITA

Art Unit: 3676

Confirmation No.: 8477

**REQUEST FOR CONSIDERATION OF  
INFORMATION DISCLOSURE STATEMENT TIMELY FILED**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir/Madam:

For at least the reasons set forth herein, *Applicants respectfully request that this Request for Consideration of Information Disclosure Statements Timely Filed be promptly forwarded to Examiner James Zurita* for consideration of the references listed on the Supplemental PTO/SB/08a form submitted electronically herewith.

Applicants are submitting this Request for Consideration of an Information Disclosure Statement Timely filed for consideration of references listed on an Information Disclosure Statement PTO-1449 form attached (Exhibit A), which was originally filed on 31 December 2001.

**REMARKS:**

On 31 December 2001, Applicants filed one (1) PTO-1449 form, listing the references submitted for consideration. Copies of all non-patent reference was submitted to the U.S. Patent and Trademark Office on 31 December 2001 and are available on the U.S. Patent and Trademark Office's PAIR system, including a copy of the PTO-1449 form, originally filed.

The PTO-1449 form was mailed via Express Mail with the U.S. Patent and Trademark Office on 31 December 2001 as evidenced by the Information Disclosure Statement Transmittal and the Certificate of Mailing by Express Mail including the Express Mail Receipt No. EL759181664US attached (Exhibit B). A copy of the non-patent reference was mailed via Express Mail with the U.S. Patent and Trademark Office on 31 December 2001 as also evidenced by the Information Disclosure Statement Transmittal and the Certificate of Mailing by Express Mail including the Express Mail Receipt No. EL759181664US attached (Exhibit B).

A Notice of Allowance in the subject Application was mailed on 6 May 2009. However, one (1) reference was not initialed by the Examiner. Applicants are electronically submitting herewith a Supplemental Information Disclosure Statement PTO/SB/08a form including the one (1) reference. In addition, for the Examiner's convenience, Applicants are electronically submitting herewith the Non-Patent Document (Notification of Transmittal of the International Search Report or the Declaration) for consideration.

Accordingly, Applicant respectfully requests confirmation of consideration of the reference on the Supplemental Information Disclosure Statement PTO/SB/08a form, electronically submitted herewith, which reflect the references timely submitted in the Information Disclosure Statement, filed on 31 December 2001. *Examiner Zurita is respectfully requested to initial the reference listed on the Supplemental PTO/SB/08a form to show that the reference was considered during the examination of the subject Application.*

**CONCLUSION:**

Although Applicants believe no fees are deemed to be necessary; the undersigned hereby authorizes the Director to charge any additional fees which may be required, or credit any overpayments, to **Deposit Account No. 500777**. If an extension of time is necessary for allowing this Response to be timely filed, this document is to be construed as also constituting a Petition for Extension of Time Under 37 C.F.R. § 1.136(a) to the extent necessary. Any fee required for such Petition for Extension of Time should be charged to **Deposit Account No. 500777**.

**Please link this application to Customer No. 53184 so that its status may be checked via the PAIR System.**

Respectfully submitted,

7 July 2009  
Date

/Steven J. Laureanti/signed  
Steven J. Laureanti, Registration No. 50,274

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**CUSTOMER NO. 53184**

Attorney's Docket No.:  
020431.0753

PATENT  
09/745,978



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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of: Mohanasundaram Chinnappan, et al.  
Serial No.: 09/745,978  
Date Filed: December 22, 2000  
Title: System and Method for Facilitating Electronic Commerce Transactions

Honorable Assistant Commissioner  
for Patents  
Washington, DC 20231

RECEIVED  
JAN 11 2002  
Technology Center 2100

Dear Sir:

**INFORMATION DISCLOSURE STATEMENT**

Applicants respectfully request, pursuant to 37 C.F.R. §§ 1.56, 1.97 and 1.98, that these references listed on the attached PTO-1449 form be considered and cited in the examination of the above-identified patent application. Copies of these references are enclosed for the convenience of the Examiner. No representation is made that a search has been made, that these references are material to the patentability of the present application, or that these references qualify as prior art.

This Information Disclosure Statement is being submitted pursuant to 37 C.F.R. § 1.97(b).

Each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than thirty days prior to the filing of this Information Disclosure Statement.

Attorney's Docket No.:  
020431.0753

PATENT  
09/745,978

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Although Applicants believe no fee is due, the Commissioner is hereby authorized to charge any fee to Deposit Account No. 02-0384 of Baker Botts L.L.P.

Respectfully submitted,

BAKER BOTTS L.L.P.



Christopher W. Kennerly  
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CWK/bt

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Date: 12/31/01

1-7-02  
GP 2161  
ATTORNEY'S DOCKET,  
020431.0753

PATENT  
09/745,978



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Mohanasundaram Chinnappan, et al.  
Serial No.: 09/745,978  
Filing Date: December 22, 2000  
For: System and Method for Facilitating Electronic Commerce Transactions

Assistant Commissioner for Patents  
Washington, DC 20231

RECEIVED  
JAN 11 2002  
Technology Center 2100

Dear Sir:

**CERTIFICATE OF MAILING BY EXPRESS MAIL**

I hereby certify that the attached Information Disclosure Statement with two (2) references are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 on this 31st day of December, 2001, and are addressed to the Assistant Commissioner for Patents, Washington, DC 20231.

  
Levado Hamilton

Express Mail Receipt  
No. EL759181664US  
Attorney's Docket No.:  
020431.0753

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**  
( Not for submission under 37 CFR 1.99)

Application Number	09745978
Filing Date	2000-12-22
First Named Inventor	Chinnappan et al.
Art Unit	3676
Examiner Name	James H. Zurita
Attorney Docket Number	020431.0753

**CERTIFICATION STATEMENT**

Please see 37 CFR 1.97 and 1.98 to make the appropriate selection(s):

☐ That each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(1).

**OR**

☐ That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(2).

☐ See attached certification statement.

☐ Fee set forth in 37 CFR 1.17 (p) has been submitted herewith.

☒ None

**SIGNATURE**

A signature of the applicant or representative is required in accordance with CFR 1.33, 10.18. Please see CFR 1.4(d) for the form of the signature.

Signature	/Steven J. Laureanti/	Date (YYYY-MM-DD)	2009-07-07
Name/Print	Steven J. Laureanti	Registration Number	50274

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1 hour to complete, including gathering, preparing and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. **DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

## Privacy Act Statement

The Privacy Act of 1974 (P.L. 93-579) requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

1. The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C. 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether the Freedom of Information Act requires disclosure of these records.
2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspections or an issued patent.
9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.